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Substitute for form 1449/PTO Complete if Known **Application Number** 10/074,804 FOURTH SUPPLEMENTAL Filing Date February 12, 2002 INFORMATION DISCLOSURE First Named Inventor Denis Garcia STATEMENT BY APPLICANT Art Unit 7096 (Use as many sheets as necessary) **Examiner Name** Longbit Chai 2222.5390001 Attorney Docket Number Sheet 12 of

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
	110.	Number-Kind Code <sup>2 (If Known)</sup>		Applicant of Olice Decement	or Relevant Figures Appear
ZC	US1	4,203,166	05-13-1980	Eshram et al.	
1	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,796,220	01-01-1989	Wolfe	
	US4	4,827,508	05-02-1989	Shear	
	US5	4,888,800	12-19-1989	Marshall et al.	
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	US7	5,032,979	07-16-1991	Hecht et al.	
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	US10	5,301,247	04-05-1994	Rasmussen et al.	
	US11	5,319,705	06-07-1994	Halter et al.	
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Pac	US20	5,765,152	06-09-1998	Ericson	

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Examiner Initials*	Cite No.1		MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
				,	Relevant Passages or Relevant Figures Appear	Te
ZC	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
41	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.		$oxed{oxed}$
46	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		
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			U.S. PATENT DO	CUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
XC.	US21	5,778,065	07-07-1998	Hauser et al.	
个	US22	5,787,173	07-28-1998	Seheidt et al.	
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AI	US40	6,061,790	05-09-2000	Bodnar ·	

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Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Substitute for form 1449/PTO Complete if Known Application Number 10/074,804 FOURTH SUPPLEMENTAL Filing Date February 12, 2002 INFORMATION DISCLOSURE First Named Inventor Denis Garcia STATEMENT BY APPLICANT Art Unit 7096 (Use as many sheets as necessary) **Examiner Name** Longbit Chai 12 **Attorney Docket Number** 2222.5390001 Sheet 3 of

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
· •	1.0.	Number-Kind Code <sup>2 (If Known)</sup>			or Relevant Figures Appear
XC.	US41	6,105,131	08-15-2000	Carroll	
个	US42	6,134,658	10-17-2000	Multerer et al.	
	US43	6,145,084	11-07-2000	Zuili	
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁴
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Substitute for form 1449/PTO Complete if Known 10/074,804 **Application Number** FOURTH SUPPLEMENTAL February 12, 2002 Filing Date INFORMATION DISCLOSURE First Named Inventor Denis Garcia STATEMENT BY APPLICANT Art Unit 7096 (Use as many sheets as necessary) Longbit Chai **Examiner Name** Sheet Attorney Docket Number 2222.5390001

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Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No.1	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
Tc	US61	6,453,353 B1	09-17-2002	Win et al.	
A	US62	6,466,932 B1	10-15-2002	Dennis et al.	
	US63	6,477,544 B1	11-05-2002	Bolosky et al.	
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	US73	6,615,349 B1	09-02-2003	Hair	
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	US77	6,640,307 B2	10-28-2003	Viets et al.	
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°
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ZL	US81	6,735,701 B1	05-11-2004	Jacobson	
A	US82	6,738,908 B1	05-18-2004	Bonn et al.	
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LL	US100	6,938,042 B2	08-30-2005	Aboulhosn et al.	

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	T
Initials*	No.'	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	Т
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			U.S. PATENT DO	CUMENTS	
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/ .	110.	Number-Kind Code <sup>2 (If Known)</sup>			or Relevant Figures Appea
ZL	US101	6,941,355 B1	09-06-2005	Donaghey et al.	
^	US102	6,941,456 B2	09-06-2005	Wilson	
	US103	6,941,472 B2	09-06-2005	Moriconi et al.	
1	US104	6,947,556 B1	09-20-2005	Matyas, Jr. et al.	
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Substitute for form 1449/PTO Complete if Known **Application Number** 10/074,804 FOURTH SUPPLEMENTAL Filing Date February 12, 2002 INFORMATION DISCLOSURE First Named Inventor Denis Garcia STATEMENT BY APPLICANT Art Unit 7096 (Use as many sheets as necessary) **Examiner Name** Longbit Chai Attorney Docket Number 2222.5390001 Sheet of 12

			U.S. PATENT DO	CUMENTS	
Examiner Cite Initials No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
		Number-Kind Code <sup>2 (If Known)</sup>		Applicant of Cited Document	or Relevant Figures Appear
ZC	US121	7,051,213 B1	05-23-2006	Kobayashi et al.	
A	US122	7,058,978 B2	06-06-2006	Feuerstein et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
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Substitute	Substitute for form 1449/PTO				Complete if Known		
FOLID	TU C	LIDDI	CMENTAL		Application Number	10/074,804	
	FOURTH SUPPLEMENTAL				Filing Date	February 12, 2002	
	INFORMATION DISCLOSURE				First Named Inventor	Denis Garcia	
STATI	EME	NT BY	APPLICAL	1T	Art Unit	7096	
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Sheet	8	of	12		Attorney Docket Number	2222.5390001	

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Examiner Cite Initials No.1		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Té
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Complete if Known Substitute for form 1449/PTO 10/074,804 **Application Number** FOURTH SUPPLEMENTAL Filing Date February 12, 2002 INFORMATION DISCLOSURE First Named Inventor Denis Garcia STATEMENT BY APPLICANT 7096 Art Unit (Use as many sheets as necessary) Longbit Chai **Examiner Name** 2222.5390001 Attorney Docket Number Sheet 9 12

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		Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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ZC	US180	2003/0074580 A1	04-17-2007	Knouse et al.	

			FOREIGN PATENT DO	CUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	
Initials*	No.'	Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	Té
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FOURTH CURRIC FARNTAL			CARCAITAI	Application Number	10/074,804	
	FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002	
				First Named Inventor	Denis Garcia	
STAT				Art Unit	7096	
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Sheet	10	of	12	Attorney Docket Number	2222.5390001	

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ZC	US181	2003/0078959 A1	04-24-2003	Yeung et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T6
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			U.S. PATENT DO	CUMENTS	
Examiner Cite Initials No. 1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
	110.	Number-Kind Code <sup>2 (If Known)</sup>			or Relevant Figures Appear
SI	US201	2004/0193602 A1	09-30-2004	Liu et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	
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				Examiner Name	Longbit Chai	
Sheet 12 of 12			12	Attorney Docket Number	2222.5390001	

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			FOREIGN PATENT		
Examiner	Cite	Foreign Patent Document	Publication Da		Pages, Columns,
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYY	Y Applicant of Cited Document	Lines, Where

			FOREIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Substitute for form 1449/PTO		· Complete if Known		
FOURTH CURRLE	RACINTAL	Application Number	10/074,804	
FOURTH SUPPLE		Filing Date	February 12, 2002	
INFORMATION D		First Named Inventor	Denis Garcia	
STATEMENT BY		Art Unit	7096	
(Use as many sh	eets as necessary)	Examiner Name	Longbit Chai	
Sheet 1	of 5	Attorney Docket Number	2222.5390001	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	'Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
ZC	NPL1	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL2	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL3	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL4	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Applicatio No. 10/404,566, filed 03/31/2003.	
	NPL5	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL6	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL7	Kinghom, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL8	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL9	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
LL	NPL10	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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Substitute for form 1449/PTO  FOURTH SUPPLEMENTAL				Complete if Known		
				Application Number	10/074,804	
				Filing Date	February 12, 2002	
	INFORMATION DISCLOSURE			First Named Inventor	Denis Garcia	
	MENT BY			Art Unit	7096	
	(Use as many sheets as necessary)			Examiner Name	Longbit Chai	
Sheet	2	of	5	Attorney Docket Number	2222.5390001	

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Z NPL11		A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	ļ
7	NPL12	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.	
	NPL13	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application," inventor Zuili, December 21, 2001, 38 pgs.	
	NPL14	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL15	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities" inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL16	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL17	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL18	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network" inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL19	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
LL	NPL20	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.	

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				Filing Date	February 12, 2002		
			LOSURE	First Named Inventor	Denis Garcia		
			PLICANT	Art Unit	7096		
	(Use as many sheets as necessary)			Examiner Name	Longbit Chai		
Sheet	3	of	5	Attorney Docket Number	2222.5390001		

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Zı	NPL21	U.S. patent application, Ser No. 10/074,194, entitled "Methods for idnetifying compunds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
1	NPL22	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL24	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL25	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL26	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL27	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL28	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL29	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	
LL	NPL30	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	

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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE			NIT A I	Application Number	10/074,804	
				Filing Date	February 12, 2002	
				First Named Inventor	Denis Garcia	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	7096			
		Examiner Name	Longbit Chai			
Sheet	4	of	5	Attorney Docket Number	2222.5390001	

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Zc	NPL31	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
4	NPL32	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL33	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL34	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL35	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL36 Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.		
	NPL37	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL38	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
·	NPL39	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
LC	NPL40	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	

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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE			NITAL	Application Number	10/074,804	
				Filing Date	February 12, 2002	
				First Named Inventor	Denis Garcia	
(Use as many sheets as necessary)		Art Unit	7096			
		Examiner Name	Longbit Chai			
Sheet	5	of	5	Attorney Docket Number	2222.5390001	

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published			
Le	NPL41	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.			
2	NPL42	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.			
	NPL43	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.			
	NPL44	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.			
	NPL45	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.			
	NPL46	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.			
	NPL47	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.			
76	NPL48	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.			
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